

Attorney's Docket No. 80398.P416

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:	,
Ludtke, et al. )	Examiner: Mizrahi, D.
Application No.: 09/734,839 )	Art Unit: 2175
Filed: December 11, 2000 )	RECEIVED
For: Secure and Convenient Method )	JUN 1 0 2004
and Apparatus for Storing and ) Transmitting Telephony-Based ) Data	Technology Center 2100
Commissioner for Patents	
P.O. Box 1450	
Alexandria Virginia 22313-1450	

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

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class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box
1450, Alexandria, Virginia 22313-1450 on
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Cheri Clinkenbeard /
(Typed or printed name of person mailing correspondence)
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(Signature of person mailing correspondence)

has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

	37 C.F.R. §1.97(b).
_X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
_X_	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: MA127, 2004

Sheryl Sue Holloway Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

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## **FEE TRANSMITTAL FOR FY 2004**

(FY 2004 Begins 10/01/2003)

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FEE C	ALCUL	ATION							
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1001	770	2001	385	Utility application filing fee				. 00 : 4.4	
1002	340	2002	170	Design application filing fee					
1003	530	2003	265	Plant filing fee					
1004	770	2004	385	Reissue filing fee					
1005	160	2005	80	Provisional application filing fee				<del></del>	
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1202	18	2202	`´9	Claims in excess of 20					ĺ
1201	86	2201	43	Independent claims in excess of 3					
1203	290	2203	145	Multiple dependent claim, if not paid		•			
1204	86	2204	43	**Reissue independent claims over orig					
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					CITE	TOTAL	7) ¢	^	

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#### **FEE CALCULATION (continued)**

JUN 1 0 2004

#### 3. ADDITIONAL FEES

Technology Center 2100

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Large		Small E			
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	<del></del>
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	<del> </del>
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	<del></del>
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	<del></del>
1403	290	2403	145 1,510	Request for oral hearing	
1451	1,510	1451	•	Petition to institute a public use proceeding	<del></del>
1452	110	2452	55 665	Petition to revive – unavoidable	
1453	1,330	2453	665 665	Petition to revive - unintentional	
1501	1,330	2501 2502	665 240	Utility issue fee (or reissue)	
1502 1503	480 640	2502 2503	320	Design issue fee Plant issue fee	
		2503 1460			
1460	130 50	1807	130 50	Petitions to the Commissioner Processing fee under 37 CFR 1.17(q)	<del></del>
1807 1806	180	1807	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per	180.00
8021	40	602 I	40	property (times number of properties)	
1809	770	2809	385	For filing a submission after final rejection	
1009	770	2003	303	(see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined	
.0.0	770	20.0	000	(see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design	
				application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	/
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Typed	or Printe	ed Name:	Shery	l Sue Holloway	
Signature:					
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Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



## Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
А9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
В1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pregrant publication and available March 2001
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
Н	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
Р3	Plant Patent	Having a previously published pregrant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
Р9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

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Substitute for Form 1449A/PTO (Modified)

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

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Com	plete if Known	
Application Number	09/734,839	() PE
Filing Date	12/11/00	.C.
First Named Inventor:	Ludtke	JUN 0 4 20215 22
Group Art Unit	2171	
Examiner Name	Mizrahi, D.	The market
Attorney Docket Number	80398 P416	ТИНОВ

Exam. Cite	xam. Cite U.S. Patent Document Natitial* No.1 Number Kind Code <sup>2</sup> (If known)	U.S. Patent	Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant
iiiliai		of Gree Document	MM-DD-YYYY	Passages or Relevant Figures Appear		
_		6,128,602		Northington, et al.	10/3/00	
		5,561,709		Remillard	10/1/96	
		6,000,832		Franklin, et al.	12/14/99	
·		6,029,141		Bezos, et al.	2/22/00	
	·	6,282,552	B1	Thompson, et al.	8/28/01	
		6,311,214	B1	Rhoads	10/30/01	
	-	6,609,113	B1	O'Leary, et al.	8/19/03	
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	FOREIGN PATENT DOCUMENTS							
Exam. Initial*	Cite No.1	Forei Office <sup>3</sup>	gn Patent D Number⁴	Nocument  Kind Code <sup>5</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner	Date Considered	
Signature		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Complete if Known	
Application Number	09/734,839	CIPE!
Filing Date	12/11/00	C 56
First Named Inventor:	Ludtke	JUN 0 4 200% 8
Group Art Unit	2171	2
Examiner Name	Mizrahi, D.	To market
Attorney Docket Number	80398.P416	

Sheet	2		of	3	Attorney Docket Number	80398.P416	
			OTHE	R ART – NO PATE	NT LITERATURE DOCUME	NTS	
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.